



07-05-01

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#3Express Mail No. EL 451 597 190 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Theron D. Tock

Serial No. 09/840,733

Filed: April 23, 2001

Title: *Computer Program Product Having
Preloaded Software Module*

Attorney Docket No. 9803-0106-999

Date: July 2, 2001

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Initial Patent Examination Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed May 11, 2001, attached please find the Notice to File Missing Parts of Nonprovisional Application, Part 2; and a copy of the executed Declaration from the parent application.

A copy of the date-stamped return receipt postcard from the parent application confirming the declaration was filed in the parent application is also enclosed.

The fee for this response is to be \$130.00 which includes the Missing Parts surcharge. The Commissioner is hereby authorized to charge any required fee or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150 (order no. 9803-0106-999). This form is submitted in duplicate for such purpose.

Respectfully submitted,

PENNIE & EDMONDS LLP

By:

Gary S. Williams

Registration No. 31,066

3300 Hillview Avenue
Palo Alto, CA 94304
(650) 493-4935



BOX: MISSING PARTS

Express Mail No. EL 236 253 090 US First Class Mail ()
Date Mailed JANUARY 4, 2000
Ser. No. 09/410, 285 Filed 10/12/99
Inventor THERON D. TOCK (SUN)
For METHOD AND SYSTEM FOR LOADING CLASSES
IN READ ONLY MEMORY

- () Affidavit/Declaration
- () Amendment
- () Application Pages
- () Claims Drawings
- () Appeal, Notice of
- () Assignment
- () Brief (in triplicate)
- () Declaration & Power of Attorney
- () Design Application
- () Disclaimer
- () Disclosure Statement
- () w/refs. () w/o refs.
- () Drawings Formal
 Sheets Figures



- () Fee Address Indication Form
- () Fee Calculation
- () Issue Fee Transmittal
- () Letter
- () Oral Hearing Req./Confirm.
- () Petition to Extend Time
- () Pet. under 37 C.F.R.
- () Power of Attorney
 Associate w/Revocation
- () Sequence Listing w/Computer
Readable and Paper Copies
- () Small Entity Statement
- () Status Letter
- ☒ Transmittal Letter FOR MISSING PARTS
+ DUPLICATE

Other: FORM PTO-1533 (PART 2); COPY OF AN EXECUTED
DECLARATION BY THE INVENTOR; AND RETURN POSTCARD
File No. 9803-0089-999 Sender: GGW/emd

2 sub



#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,733	04/23/2001	Theron D. Tock	9803-0106-999

CONFIRMATION NO. 5067

FORMALITIES LETTER



OC00000006067204

PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, CA 94304

Date Mailed: 05/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

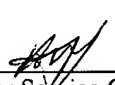
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/10/2001 AZERGAW1 00000057 161150 09840733

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